



Ascot**EXEC**™

Financial Institutions

The regulatory landscape is rapidly evolving and creating more complexity for Financial Institutions. Holistic risk diligence and adequate insurance protection are table stakes in today's operating environment. The Ascot team has deep experience working with a wide variety of financial institutions and developing a range of risk transfer solutions for this systemically important industry.



Target Industries

- Banks, Including Money Center and Regional Banks
- Insurance Companies
- Investment Advisors
- Private and Registered Funds
- Private Equity/ Venture/ General Partnership Liability
- REITs
- Business Development Companies
- Diversified Financial Services

Coverage Features

- Admitted/Non-Admitted Capabilities
- Geographic Scope: All 50 States and Washington D.C.
- Drop-Down Sub-limits Available

Key Contact

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Product Offering and Limits

- Primary: \$5 million+
 - Blended D&O/Advisor E&O (Asset Management Form)
 - D&O
 - Employment Practices Liability
 - Fiduciary Liability
 - Lead Side-A DIC
- Excess: \$10 million+
 - D&O
 - Employment Practices Liability
 - Fiduciary Liability
 - Side-A DIC
 - Crime
 - BPL
 - ICPL
 - Blended Cyber Programs

Strength in Security Rating

- AM Best – Financial Strength Rating: A (Excellent), a Financial Size Category of XIV
- Fitch Ratings – Insurer Financial Strength Rating: A+ (Strong)

Ascot Differentiator

- Limited Brokerage Distribution Model
- Underwriters with Industry Specialization Experience
- Quick and Local Authority
- Ability to Underwrite Complex Risks



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